



国信证券(香港)经纪有限公司(“国信香港”)经营的是证券及就证券提供意见和期货交易及就期货交易提供意见的业务,并根据证券及期货条例获发牌经营第1类(证券交易)、第2类(期货交易)、第4类(就证券提供意见)及第5类(就期货提供意见)受规管活动(中央编号:AUI491)。

致: 国信证券(香港)经纪有限公司

常设授权

谨供客户开立证券保证金账户

1. 本人/我们同意并授权国信证券(香港)经纪有限公司(“国信”)及其有联系实体(按<<证券及期货条例>>附表1第1部有关定义)可于十二个月的时间内,以下列一种或多种方式处理不时由国信香港或其任何有联系实体代本人/我们购入或持有的证券及证券抵押品,毋须进一步通知本人/我们:

依据证券借贷协议运用本人/我们的任何证券或证券抵押品;

将任何本人/我们的证券抵押品存放于认可财务机构,作为提供予国信的财务通融的抵押品;

将任何本人/我们的证券抵押品存放于获证券及期货条例认可的结算所或其他获发牌或获注册进行证券交易的中介人,作为解除国信在交收上的义务和清偿国信在交收上的法律责任的抵押品,及

于考虑任何适用的法律或监管要求的情况下,以国信认为适当的方式对待及处理有关证券抵押品。

2. 本人/我们获悉及确认国信将客户的证券及抵押品再质押的做法。

3. 本常设授权并不涵盖就国信借入、贷出或存放本人/我们任何证券而须支付或收取的任何代价。任何代价均由本人/我们与国信另行签约订明。

4. 本人/我们明白本人/我们的证券可能受制于第三者之权利,国信必须于抵偿该等权利后,方将本人/我们的证券交还本人/我们。

5. 本人/我们明白本授权的有效期为十二个月,自本人/我们申请之证券账户开立日起计有效。证券账户开立日指本人/我们证券账户之申请获得国信或其授权人士批准之日期。本人/我们确认并同意,国信在本授权的有效期限届满前14日之前向本人/我们发出通知,提醒本人/我们本授权即将届满,而本人/我们没有在本授权届满前反对此授权续期,本授权应当作为在不需本人/我们书面同意的情况下按持续的基准被续期。本人/我们明白本授权可于任何时候由本人/我们以书面通知国信提出撤销。

6. 本人/我们明白现时无任何法例规定本人/我们必须签署此授权书,然而,国信可能需要此授权书,以便向本人/我们提供保证金贷款。虽然国信根据本人/我们的授权而借出或存放属于本人/我们的证券或抵押品须对本人/我们负责,但国信的违约行为可能导致本人/我们损失本人/我们的证券或抵押品。本人/我们同意并确认国信及其有联系实体有权收取及保留任何由于处置本人/我们的证券或证券抵押品所产生的任何报酬、收入、回佣或其他利益而无须向本人/我们负责。

<p>个人账户</p> <p style="text-align: center;">_____ 客户签署</p>	<p>公司账户</p> <p style="text-align: center;">_____ 获授权代表签署及公司盖印</p>
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客户姓名(正楷)

日期



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TO: Guosen Securities (HK) Brokerage Company

Standing Authority

For clients applying for Securities Margin Account only

We hereby authorize Guosen Securities (HK) Brokerage Company, Limited ("Guosen") and each associated entity (as defined in Part 1 of Schedule 1 to the Securities and Futures Ordinance) of Guosen for a period of 12 months to deal in one or more of the following ways with my/our securities and securities collateral from time to time purchased or held by Guosen or any associated entity on my/our behalf without further notice to or consent from me/us:

To apply any of my/our securities or securities collateral pursuant to a securities borrowing and lending agreement;

To deposit any of the securities collateral with an authorized financial institution as collateral for financial accommodation provided to Guosen;

To deposit any of the securities collateral with any clearing house recognized under the Securities and Futures Ordinance or another intermediary licensed or registered for dealing in securities as collateral for the discharge and satisfaction of Guosen's settlement obligations and liabilities; and

To treat and deal with the securities and securities collateral in such manner as Guosen considers appropriate taking into account any applicable legal or regulatory requirement from time to time.

1. I/We hereby acknowledge, and confirm having been informed by Guosen, that Guosen has the practice of repledging clients' securities and securities collateral.
2. This standing authority does not cover any consideration, which must be set in a separate agreement between me/us and Guosen.
3. I/We understand that a third party may have rights to my/our securities, which Guosen must satisfy before my/our securities can be returned to me/us.
4. This standing authority is valid for a period of up to 12 months from the account opening date. Account opening date refers to the date on which our account opening application is approved by Guosen or its authorized personnel. This standing authority may be renewed for subsequent periods of not exceeding 12 months if I/we am/are given a written notice from Guosen at least 14 days prior to the expiry of such authority and I/we do not object to the renewal of such authority before its expiry. This standing authority may be revoked at any time on giving prior written notice to Guosen.
5. I/We understand that I/we am/are not required by any law to sign this authority but it may be required by Guosen to arrange for margin lending to me/us. I/We also understand that if I/we sign this authority and my/our securities or securities collateral are lent to or deposited with third parties, Guosen shall remain responsible to me/us for securities or securities collateral lent or deposited under my/our authority, but a default by Guosen could result in the loss of my/our securities or securities collateral. I/We agree and confirm that Guosen and its associated entities shall be entitled to receive and retain for their own benefit and not be accountable to me/us for any remuneration, income, rebates or other benefits resulting from any dealing with my/our securities or securities collateral.

<p>Individual Account</p> <p>_____</p> <p>Signature by Client</p>	<p>Corporate Account</p> <p>_____</p> <p>Authorized Signatory with Company Chop</p>
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Client Name (Block Letter)

Date